

PTO-1390 (Rev. 07-2005)
Approved for use through 03/31/2007. OMB 0651-0021
U. S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

| TRANSMITTAL LETTER TO THE UNITED STATES | ATTORNEY'S DOCKET NUMBER 564462004201 | | | | | |
|---|--|--|--|--|--|--|
| DESIGNATED/ELECTED OFFICE (DO/EO/US) | U.S. APPLICATION NO. (if known, see 37 CFR 1.5) | | | | | |
| CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 | 10/511,875 | | | | | |
| INTERNATIONAL APPLICATION NO. PCT/US03/12556 INTERNATIONAL FILING DATE 21 April 2003 | PRIORITY DATE CLAIMED 19 April 2002 | | | | | |
| TITLE OF INVENTION PHOSPHOLIPASES, NUCLEIC ACIDS ENCODING THEM AND METHO | DS FOR MAKING AND USING THEM | | | | | |
| APPLICANT(S) FOR DO/EO/US Svetlana GRAMATIKOVA et al. | | | | | | |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EC | O/US) the following items and other information: | | | | | |
| 1. This is a FIRST submission of items concerning a submission under 35 L | J.S.C. 371. | | | | | |
| 2. X This is a SECOND or SUBSEQUENT submission of items concerning a s | submission under 35 U.S.C. 371. | | | | | |
| This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. | | | | | | |
| 4. The US has been elected (Article 31). | | | | | | |
| 5. A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) | | | | | | |
| a. is attached hereto (required only if not communicated by the Internation | onal Bureau). | | | | | |
| b. has been communicated by the International Bureau. | | | | | | |
| c. is not required, as the application was filed in the United States Recei | iving Office (RO/US). | | | | | |
| 6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). | | | | | | |
| a. is attached hereto. | | | | | | |
| b. has been previously submitted under 35 U.S.C. 154(d)(4). | | | | | | |
| 7. Amendments to the claims of the International Application under PCT Art | icle 19 (35 U.S.C. 371(c)(3)) | | | | | |
| a. are attached hereto (required only if not communicated by the Interna | itional Bureau). | | | | | |
| b. have been communicated by the International Bureau. | | | | | | |
| c. have not been made; however, the time limit for making such amendr | ments has NOT expired. | | | | | |
| d. have not been made and will not be made. | | | | | | |
| 8. An English language translation of the amendments to the claims under F | PCT Article 19 (35 U.S.C. 371(c)(3)). | | | | | |
| 9. x An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). (2 pages) | | | | | | |
| An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). | | | | | | |
| Items 11 to 20 below concern document(s) or information included: | | | | | | |
| 11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98. | | | | | | |
| 12. An assignment document for recording. A separate cover sheet in comp | liance with 37 CFR 3.28 and 3.31 is included. | | | | | |
| 13. A preliminary amendment. | | | | | | |
| 14. x An Application Data Sheet under 37 CFR 1.76. (Supplemental) (3 pag | es) | | | | | |
| 15. A substitute specification. | | | | | | |
| 16. A power of attorney and/or change of address letter. | | | | | | |
| 17. x A computer-readable form of the sequence listing in accordance with F (1 CD) | PCT Rule 13ter.2 and 37 CFR 1.821 – 1.825. | | | | | |
| 18. A second copy of the published International Application under 35 U.S | .C. 154(d)(4). | | | | | |
| 19. A second copy of the English language translation of the international | application under 35 U.S.C. 154(d)(4). | | | | | |
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| U.S. APPLICATI | U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 10/511,875 | | INTERNATIONAL APPLICATION NO. PCT/US03/12556 | | | ATTORNEY'S DOCKET NUMBER 564462004201 | |
|---|--|-----------|---|---|--|---|-------------------------------|
| 20. x Othe | r items or | informa | Petitio Petitio of und Decla Respo Staten Paper | of Notification of Mission for 4 Month Extension Under CFR 1.47(a) cooperative co-inventuration of Lynn LINKO ense to Notice to File Inent to Support Filing Sequence Listing (75 in Receipt Postcard | ion of Time Under 3 requesting Oath/Decor Svetlana GRAMA WSKI (3 pages) w/ E Missing Requirement and Submission (2 p | 7 CFR 1.136(a) (a claration be accep FIKOVA (3 pages) exhibits A-E (26 pa es (3 pages); | ted without signature ; w/ |
| I — | The following fees have been submitted | | | CALCULATION \$ | IS PTO USEONLY | | |
| 22. Exa | If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)\$0 | | | | | \$ | |
| If the written op IPEA/US Search fee (37 Internati International So previous | | | | | \$ | | |
| | | OF 21, 22 | · - | | | \$ 0.0 | 00 |
| sequi electi | Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. | | | | | | |
| Total Sheets | Extra SI | | | additional 50 or fraction up to a whole number) | RATE | _ | |
| Surcharge of \$ | - 100 = /50 = x \$250.00 Surcharge of \$130 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)). | | | \$ 130. | 00 | | |
| CLAIN | | | IBER FILED | NUMBER EXTRA | RATE | | |
| Total cla | ims | | - 20 = | | x | | |
| Independen | | l | - 3 = | | x | | |
| MULTIPLE DE | PENDENT | CLAIM(S | | - 4 5 5 1 | + | (a) £ 1.500. | 00 |
| | | | Petition Fee to | r 4 Month Extension of Ti | | | |
| X Applica | TOTAL OF ABOVE CALCULATIONS = X Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2. | | | 860. | | | |
| - | SUBTOTAL = | | | -= \$ 860. | 00 | | |
| | Petition Fee Under 37 CFR 1.17(g) for Petition filed Under 37 CFR 1.47(a) | | | (a) \$ 200. | 00 | | |
| | Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)). | | | \$ | | | |
| | TOTAL NATIONAL FEE = | | | ≣ = \$ | \$ 1,060.00 | | |
| | Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + | | | \$ | | | |
| | | | | те | OTAL FEES ENCLOSES | | 1,060.00 |
| | 00117 031 | 1 252 | 0511875 | | | Amount to be refunded: | \$ |
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| a. A check in the amount of \$ to cover the above | ve fees is enclosed. | | | | | | | |
|---|---|--|--|--|--|--|--|--|
| b. x Please charge my Deposit Account No. 03-1952 in the amo | ount of \$1,060.00 to cover the above fees. | | | | | | | |
| The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No A duplicate copy of this sheet is enclosed. | | | | | | | | |
| d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038 | | | | | | | | |
| NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed an granted to restore the International Application to pending status. | | | | | | | | |
| | | | | | | | | |
| CEND ALL CORDESPONDENCE TO: | La Cinks | | | | | | | |
| SEND ALL CORRESPONDENCE TO: | SIGNATURE | | | | | | | |
| Gregory P. Einhorn Morrison & Foerster LLP | | | | | | | | |
| 3811 Valley Centre Drive, Suite 500 | Gregory P. Einhorn | | | | | | | |
| San Diego, CA 92130 (858) 720-5133 | NAME | | | | | | | |
| | | | | | | | | |
| CUSTOMER NUMBER: 45975 | 38,440 | | | | | | | |
| | REGISTRATION NUMBER | | | | | | | |
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I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, Airbill No. EV 391878258 US, in an envelope addressed to: MAIL STOP PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Dated: October 18, 2005

(Norman Green)

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

Express Mail Label No.: EV 391 878 258 US

Date of Deposit: October 18, 2005

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail PostOffice to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450,

Alexandria, VA 22313-1450.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Svetlana GRAMATIKOVA et al.

Application No.:10/511,875

Confirmation No.: 6587

International Filing Date: April 21, 2003 Art Unit: Not Yet Assigned

For: PHOSPHOLIPASES, NUCLEIC ACIDS

ENCODING THEM AND METHODS FOR

MAKING AND USING THEM

Examiner: Not Yet Assigned

RESPONSE TO NOTICE TO FILE MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

MAIL STOP PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

This is in response to the Notice to File Missing Requirements Under 35 U.S.C. 371 In The United States Designated/Elected Office (DO/EO/US), mailed April 18, 2005, for which a response is due on June 18, 2005. Accordingly, this response is timely filed.

Please enter the following Sequence Listing and remarks.

In the Sequence Listing:

Please insert the attached paper copy of the Sequence Listing (pages 1-79) after the Abstract in the above-mentioned application. A computer readable form copy (labeled "CRF") of the Sequence Listing accompanies this response.

REMARKS

The undersigned hereby states that the computer readable form copy (labeled "CRF") of the Sequence Listing and the paper copy of the Sequence Listing (pages 1-79), submitted in accordance with 37 C.F.R. § 1.825(a) and (b), respectively, are the same and contain no new matter. Accordingly, entry of the Sequence Listing into the above-captioned case is respectfully requested.

In the unlikely event that the transmittal letter is separated from this document and the Patent Office determines that an extension and/or other relief is required, Applicants petition for any required relief including extensions of time and authorize the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing docket no. <u>564462004201</u>. However, the Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Respectfully submitted

Date: October 18, 2005

Gregory P. Einhorn Registration No. 38,440

Morrison & Foerster LLP 3811 Valley Centre Dr.,

Suite 500

San Diego, CA 92130-2332 Telephone: (858) 720-5133 Facsimile: (858) 720-5125



United States Patent and Trademark Office

FINAL DUE DATE:

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P. O. DOX 1450 Alexandria, Vingrius 22313-1450 www.unpto.gov

ATTY. DOCKET NO. U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT Svetlana Gramatikova 564462004201 10/511,875 INTERNATIONAL APPLICATION NO. PCT/US03/12556 I.A. FILING DATE PRIORITY DATE MORRISON & FOERSTER LLP 04/21/2003 04/19/2002 3811 VALLEY CENTRE DRIVE SUITE 500 SAN DIEGO, CA 92130-2332 DOCKETED **CONFIRMATION NO. 6587 371 FORMALITIES LETTER DUE DATE:**

Date Mailed: 04/18/2005

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 10/19/2004
- Copy of the International Search Report filed on 10/19/2004
- Information Disclosure Statements filed on 02/22/2004
- U.S. Basic National Fees filed on 10/19/2004
- Priority Documents filed on 10/19/2004

DOCKETED

OC000000015759528

DUE DATE:

FINAL DUE DATE: 1/-18-1

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$65 Surcharge for providing the oath or declaration later than 30 months from the priority date (37 CFR 1.492(e)) is required.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$65 for a Small Entity:

- \$65 Late oath or declaration Surcharge.
 - This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 CFR 1.821(c) Applicant must provide an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application and a statement that the content of the sequence listing information recorded in computer readable form is identical to the

- written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).
- A copy of th "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:

- For Rules Interpretation, call (571) 272-0951
- For Patentin Software Program Help, call Patent EBC at 1-866-217-9197 or directly at 703-305-3028 / 703-308-6845 between the hours of 6 a.m. and 12 midnight, Monday through Friday, EST.
- Send e-mail correspondence for Patentin Software Program Help @ ebc@uspto.gov

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

INDIA L EVANS

Telephone: (703) 308-9140 EXT 212

PART 1 - ATTORNEY/APPLICANT COPY

| U.S. APPLICATION NUMBER NO. | INTERNATIONAL APPLICATION NO. | ATTY. DOCKET NO. |
|-----------------------------|-------------------------------|------------------|
| 10/511,875 | PCT/US03/12556 | 564462004201 |

FORM PCT/DO/EO/905 (371 Formalities Notice)